

SMITH (2 of 2)								
IRA ACCOUNT (5xx-xxxxxx)			No. of	Market	Total	Position	Per Sh.	Total
Security	Symbol	Shares	Value	Per Sh.	Market	Size	Annual	Annual
<u>Capital Appreciation Segment:</u>								
Ultra Short Dow 30 Fund	UWPIX	279	15.41		4,299	2.6%		0
					4,299	2.6%		0
<u>Call Writing Segment</u>								
No positions								
<u>High Yield Segment:</u>								
Eaton Vance Enhanced Income	EOS	600	19.16		11,496	7.0%	1.74	1,044
					11,496	7.0%		1,044
Cash (money market)	FDRXX				1,115	0.7%		31
Totals					16,910	1.1%		1,075
Projected Dividend Yield - High Yield Segment								9.1%
Projected Aggregate Dividend Yield								6.4%
CLIENT AGGREGATE (BOTH ACCOUNTS)					Portfolio	Category	Dividend	
					Value	Size (%)	Yield	
Capital Appreciation Segment					32,012	19.6%	0	
Call Writing Segment					0	0.0%	0	
High Yield Segment					116,647	71.3%	11,618	
Cash					14,844	9.1%	408	
Totals					163,503	100.0%		12,026
Projected Dividend Yield - High Yield Segment								10.0%
Projected Aggregate Dividend Yield								7.4%